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10/23/01  
 3961 U.S. PTO

<b>UTILITY          PATENT APPLICATION          TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	C01104/70087 (JT)
	First Named Inventor or Application Identifier	
	Kevin J. Dowling, et al.	
	Express Mail Label No.	EL 844531344 US
	Date of Deposit	October 23, 2001

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<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>	<b>ADDRESS</b> <b>TO:</b>	<b>Box Patent Application</b> Commissioner for Patents Washington, DC 20231
1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
<input type="checkbox"/> Statement filed in prior application, Status still proper and desired.	a. <input type="checkbox"/> Computer Readable Form (CRF)	
3. <input checked="" type="checkbox"/> Specification [Total pages 97]	b. <input type="checkbox"/> Specification Sequence Listing on:	
59 - pages description 1 - pages abstract	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
37 - pages claims 322 - Total claims	ii. <input type="checkbox"/> paper (identical to computer copy)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets - 16]	c. <input type="checkbox"/> Statement verifying identity of above copies	
<input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal [Total drawings - 16]	<b>ACCOMPANYING APPLICATION PARTS</b>	
5. <input type="checkbox"/> Oath or Declaration [Total pages ]	10. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s)	
a. <input type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <i>[Note Box 6 below]</i>	<input type="checkbox"/> Power of Attorney	
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i>	
6. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 5b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.	13. <input type="checkbox"/> Information Disclosure Statement PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
7. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76	14. <input type="checkbox"/> Preliminary Amendment	
	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
	16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
	17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)	
	18. <input type="checkbox"/> Other:	

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application Nos. U.S. Patent Application No. 09/215,624 "Smart Lightbulb," U.S. Patent Application No. 09/213,607 "Sensor Feedback Illumination Methods and Systems," U.S. Patent Application No. 09/213,189 "Precision Illumination Methods and Systems," U.S. Patent Application No. 09/213,581 "Kinetic Illumination Methods and Systems," U.S. Patent Application No. 09/213,540 "Data Delivery Track," U.S. Patent Application No. 09/333,739 "Diffuse Illumination Methods and Systems," U.S. Patent Application No. 09/626,905 "Illumination Components," U.S. Patent Application No. 09/742,017 "Lighting Entertainment System," U.S. Patent Application No. 09/616,214 "Systems and Methods for Authoring Lighting Sequences," United States Patent Application No. 09/815,418, entitled "Lighting Entertainment System," United States Patent Application No. 09/805,368, entitled "Light Emitting Diode Based Products," United States Pending Patent Application No. 09/805,590, entitled "Light Emitting Diode Based Products," a United States Patent Application entitled "Systems and Methods for Color Changing Device and Enclosure," filed July 26, 2001, a United States Patent Application entitled "Ultraviolet Light Emitting Diode Systems and Methods," filed August 6, 2001, and United States Patent Application No. 09/886,958, entitled "Method and Apparatus for Controlling a Lighting System in Response to an Audio input."

**Prior application information:** Examiner

**Group Art Unit:**

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**20. CORRESPONDENCE ADDRESS**

Correspondence address below

**CUSTOMER NUMBER:**



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<b>21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</b>					
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SIGNATURE					
DATE	10/23/01				